

Sheet 6 of 7

INFORMATION DISCLOSURE
CITATION

ATTY. DOCKET NO.

SERIAL NO.

3594-14

10/613,319

APPLICANT

HARRINGTON et al.

(Use several sheets if necessary)

FILING DATE

TC/A.U.

07 July 2003

3694

/JS/	Parity Activity, 21 st Century Municipals (undated)
	Bond Activity, 21 st Century Municipals (undated)
	Broker-Dealers to Hawk Products via Satellite and Cable TV, The Bond Buyer: Sarah Stirland (4/16/1997)
	Electronic Auction Logs 110,000 th Winning Bid, Business Wire (11/26/1996)
	City First in Nation to Use Internet to Market, Sell Municipal Bonds, Johanna Pro (11/19/1997)
	Competitive Bid New Issue for City of Pittsburgh, Grant Street Advisors (11/22/1996)
	Competitive Bidding for Municipal Bonds is Coming to the World Wide Web, The Bond Buyer: Richard Richmeyer (6/4/1997)
	Deal Analysis, Thomson Municipal Market Monitor, Thomson Municipal Monitor (2001)
	E-mail from Sarah Thomas to Myles Harrington and Dan Veres re: '219 Patent, Sarah Thomas (1/13/1999)
	E-mail from Sarah Thomas to Myles Harrington and Dan Veres re: '896 Patent, Sarah Thomas (1/13/1999)
	Expedia: Screen Shot, Expedia.com (1997)
	BidComp Command Card, Angela Miceli (3/7/1996)
	Notes re BidComp/DalComp, Unknown (unknown)
	Parity Reference Manuals and Screen Shots, Parity (various 1992)
	MuniAuction Takes Show on the Road, Pitches Case to Potential Customers, Jodi B. Cohen (2/17/1998)
	Offering Memorandum, 21 st Century Municipals (4/1/1997)
	Panelists Extol the Many Benefits of Widespread Internet-Based Trading, The Bond Buyer: Robert Whalen (3/16/1998)
✓	PARITY (v.2.0) Screen Shots and Information Manual, Parity (1994)
✓	Participants See the Market Playing a Good Game of Catch-Up, The bond Buyer: Robert Whalen (4/8/1998)
	Piedmont Software Company, The Bond Swap Series, Piedmont Software Company (undated)
	Proposal to Purchase for City of Pittsburgh, City of Pittsburgh (12/3/1996)
	Proposed Structure of Joint Venture Between FSG and ABBB, Unknown (12/3/1991)
	Response from Patent Office on Harrington Application, Patent office (9/9/1999)
	Screen Shot of Bid Ohio, State of Ohio (1999-2001)
	How to Use Parity on Your Side, Parity (unknown)
	Thomson On-Line Worksheets Phase One, Thomson Financial Services (unknown)
	Thomson Pre-Sale Worksheet Printed Report, Thomson Financial Services (unknown)
	Thomson Post-Sale Worksheet Printed Report, Thomson Financial Services (unknown)
	Thomson Competitive and Negotiated Brief Printed Reports, Thomson Financial Services (unknown)
	Thomson Pre-Sale Worksheets On-Line Presentation (Draft 1.0), Thomson Financial Services (unknown)
	Thomson Post-Sale Worksheets Online Presentation (Draft 1.0), Thomson Financial Services (unknown)
	Thomson Negotiated and Competitive Briefs On-Line Presentation (Draft 1.0) Thomson Financial Services (unknown)
	Thomson Deal Analyses, Thomson Municipal Market Monitor (various)
	TMIS Competitive Package Business Plan, Cheryl Horowitz (11/14/1997)
	Trademark Application for parity, 21 st century Municipals (5/18/1993)
	PARITY Form of Agreement, David Landes (2/6/1995)
	Suggested Language for Including in the Notice of Sale Regarding PARITY, Unknown (unknown)
	Agreement between Parity and Seattle First National Bank, David V. Landes (8/25/1992)
	Agreement between Parity and Norwest Investment Services, Inc., David V. Landes (2/9/1994)
	Agreement between Parity and Kirkpatrick, Pettis, Smith, Polian Inc., David V. Landes (1/19/1993)
	Agreement between Parity and T.R. Nelson & Associates, Inc., Terry R. Nelson (11/10/1993)
✓	Agreement between Parity and Integrated Financial Network, Inc., David V. Landes (5/20/1996)
✓	Notice of Termination between Parity and Integrated Financial Network, Inc., David V. Landes (2/10/1997)

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*Examiner

/Jamie Swartz/

Date Considered

08/20/2007

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.